Global Dossier Initiative
Office of International Patent Cooperation

*Improve the quality, efficiency and predictability of patent family prosecution, thereby improving the certainty of global patent rights.*

- **Improving Global Work Sharing**
  - Develop office processes to assist in global work sharing
  - Increase efficiency of applicant processes to improve global work sharing
  - Resolve legal issues hindering global work sharing
  - Provide IT solutions to enhance global work sharing
International Cooperation

- **Cooperation among world IP offices**
  - Trilateral
  - IP5
  - Multilateral
  - Bilateral

- **Cooperation with IP stakeholder groups**
  - Global Dossier Task Force
  - AIPPI, FICPI, ABA, PPAC, others
The IP5

- First meeting held May 2007
- Members:
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People’s Republic of China (SIPO)
  - United States Patent & Trademark Office (USPTO)
Evolution of filings at the IP5 (2004-2014)
Global Dossier Task Force

Members:

- **IP5 Offices**
  - United States Patent and Trademark Office (USPTO)
  - European Patent Office (EPO)
  - Japan Patent Office (JPO)
  - Korean Intellectual Property Office (KIPO)
  - State Intellectual Property Office of the People's Republic of China (SIPO)

- **Industry IP5 Members**
  - American Intellectual Property Law Association (AIPLA)
  - Intellectual Property Owners Association (IPO)
  - Japan Intellectual Property Association (JIPA)
  - Korea Intellectual Property Association (KINPA)
  - Patent Protection Association of China (PPAC)
  - BUSINESSEUROPE

- **World Intellectual Property Organization (WIPO)**

[Image of USPTO logo]
Global Dossier

Global Dossier is a set of business services modernizing the global patent system and delivering benefits to all stakeholders.
Benefits of Global Dossier

*Cost savings - exploit more IP!*

Improved patent quality

Higher value patents

Decreased time to file internationally

Increased ease of international filing
Global Dossier Services

- **Current Services**
  - **Public Access**: provides access to a user-friendly online interface, which will make it easier for patent applicants to quickly and easily view, monitor, and manage intellectual property (IP) protection around the world by providing access to the dossiers of related applications filed at participating offices.
  - **International Patent Family**: provides examiner access to work done by examiners on related applications at other offices.

- **Upcoming Future Services**
  - **Document Sharing Functionality**: sharing documents between office, such as prior art exchanges and supporting documents. Viewed as a first step towards cross-filing.
  - **WIPO CASE Linkage**: provides examiners and the public with access to additional participating offices outside of the IPS.
Global Dossier Usage

- Global Dossier Public Access
  - Approximately 20,000 accesses per day

- Global Dossier International Patent Family (Examiner Access)
  - Approximately 3,000 accesses per day
Global Dossier
First Release Demo
Global Dossier Family List

Filtering

Sorting indicator

Office Action Indicator

US 13565455 - 9 Members in Patent Family (8 currently shown)
Global Dossier Family List

Download list of patent family members

Publication Link
Global Dossier Quick View
Global Dossier Collections

Add Application to Collections
Global Dossier History

History of viewed applications:

- Application
  - US 13565455
  - EP 09611971

- Publication
  - US 20070155322
Global Dossier - Dossier View
Global Dossier Classification Data

Classification Data

- B23K 28/0846: (for moving elongated workpieces longitudinally, e.g., wire or strip material)
- B23K 28/0853: (Devices involving movement of the workpiece in at least in two axial directions, e.g., in a plane)
- B23K 28/0861: (in at least three axial directions)
Global Dossier Citations

### Classification Data
- IPC: 820K 26/78
- BTIC: 11/20
- MEC: 21/28

### Citation Data

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- **View Full Patent Family**
- **View Application Dossier**
- **View Publication**
Global Dossier Availability

Service Availability Status

Offices

- USPTO
- EPO
- JPO
- KIPO
- SIPO

Check Availability

Service Hours

- USPTO
- EPO
- JPO
- KIPO
- SIPO

The Scheduled Outage Hours (EDT) are displayed in red text indicated by an asterisk (*).

The scope of available document range coverage for EPO is from January 1, 1978 onwards.

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- WEDNESDAY | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 |
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- FRIDAY | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 |
- SATURDAY | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 |
- SUNDAY | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 | 00:00 - 11:00 |
Upcoming Features

- Enhanced Office Action indicator
- Document filtering
- Enhanced Office availability alerts
- Linkage with WIPO CASE
- PPH pilot
IP5 Priorities for Global Dossier

- **USPTO – “Proof-of-Concept for Inter-Office Exchange”**
  - Sharing documents between offices including for example, prior art exchanges, bib data updates, and supporting documents. Viewed as a first step towards cross-filing

- **EPO – “Alerting”**
  - Automated mechanism whereby each office alerts all the other offices, applicants, and representatives of changes in status to an application

- **JPO – “XML”**
  - Enabling each office, and possibly applicants and representatives, to download all application-related data from applications pending in other offices in XML format

- **KIPO – “Applicant Name Standardization”**
  - An automated mechanism that will assign a single, unique name to entities with applications pending in multiple office, including in instances where those entities may have used multiple names, or variations of a single name to identify themselves

- **SIPO – “Legal Status”**
  - A mechanism to allow users to view the legal status of an application in another office
Stakeholder Input on Global Dossier

  - IdeaScale is one of the ways that OIPC will be gathering stakeholder input and feedback on Global Dossier.
    - Vote and comment on the various ideas
    - Provide additional suggestions for services and features that would improve the ability to monitor and manage related cases around the world

- Focus Sessions
  - Conducted to gather input and feedback on services

- Contact the Global Dossier Team
  - [GlobalDossier@USPTO.gov](mailto:GlobalDossier@USPTO.gov)
Global Dossier Access

Direct access link:
http://globaldossier.uspto.gov
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